

01-22-02

1762



Express Mail No.: EL 452 482 202 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bravo-Vasquez and Hill

Serial No.: 09/876,944

Group Art Unit: 1762

Filed: June 8, 2001

Examiner: To be assigned

FOR: METHOD FOR THE DEPOSITION OF
MATERIALS FROM MESOMORPHOUS
FILMS

Attorney Docket
No.: 8317-120-999

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Date Mailed: January 26, 2002

TECHNOLOGY CENTER 1700
JAN 28 2002
RECEIVED

Sir:

Applicants respectfully request that a Corrected Filing Receipt be issued for the above-referenced patent application.

Enclosed please find a copy of the filing receipt with corrections marked in red.

The following error should be corrected:

In the Domestic Priority data as claimed by applicant:

Please change the filing date of Application No. 09/875,957 from 06/07/2001 to 06/06/2001.

Remarks

The above-identified application claims benefit of priority to Application Serial No. 09/875,957, which was originally accorded an incorrect filing date of 06/07/2001 by the PTO. Applicants have subsequently petitioned to correct that filing date and enclose herewith, as *Exhibit A*, a copy of the Corrected Filing Receipt of Application Serial No. 09/875,957 showing the filing date as 06/06/2001.

Applicants believe that no fee is due with this paper. However, if it is determined that a fee is due, please charge the required fee to Pennie & Edmonds LLP deposit account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

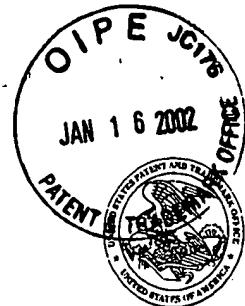
Date January 16, 2002

Richard G. A. Bone

Richard G. A. Bone, Ph.D.
Limited Recognition Under 37 C.F.R. § 10.9(b)
(Copy of Certificate Enclosed)

for: Victor N. Balancia (Reg No. 31,231)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036
(212) 790-9090

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/876,944	✓ 06/08/2001	✓ 1762	✓ 1236	✓ 8317-120-999	✓ 5	✓ 42	✓ 3

CONFIRMATION NO. 9071

24341
 Pennie & Edmonds, LLP
 3300 Hillview Avenue
 Palo Alto, CA 94304

UPDATED FILING RECEIPT



"OC000000006920018"

Date Mailed: 10/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Juan Pablo Bravo Vasquez, Burnaby, CANADA; ✓
 Ross H. Hill, Coquitlan, CANADA; ✓

Domestic Priority data as claimed by applicant

08
 THIS APPLICATION IS A CIP OF 09/875,957 06/07/2001 * ✓
 (*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 08/04/2001

Projected Publication Date: 12/12/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method for the deposition of materials from mesomorphic films ✓

Preliminary Class

427

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 TECHNOLOGY CENTER 1700

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

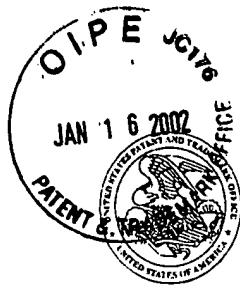
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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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Exhibit A



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/875,957 ✓	06/06/2001 ✓	2822 ✓	1106 ✓	8317-099-999 ✓	0 ✓	42 ✓	3 ✓

CONFIRMATION NO. 8314 ✓

24341
 Pennie & Edmonds, LLP
 3300 Hillview Avenue
 Palo Alto, CA 94304

RECEIVED RECORDS

JAN - 6 2002
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CORRECTED FILING RECEIPT


 OC000000007251225

Date Mailed: 01/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Domestic Priority data as claimed by applicant

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Projected Publication Date: 12/12/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method for the deposition of materials from mesomorphic films ✓

Preliminary Class

438

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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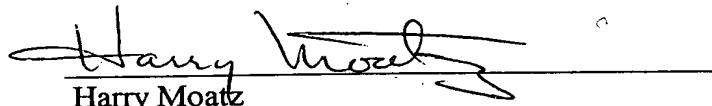
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Mr. Richard G. A. Bone is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Pennie & Edmonds, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Pennie & Edmonds, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Pennie & Edmonds, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Mr. Richard G. A. Bone ceases to lawfully reside in the United States, (ii) Mr. Richard G. A. Bone's employment with Pennie & Edmonds, LLP ceases or is terminated, or (iii) Mr. Richard G. A. Bone ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: March 15, 2002



Harry Moatz
Director of Enrollment and Discipline